## CZECH UNIVERSITY OF LIFE SCIENCES PRAGUE

Faculty of Tropical AgriSciences

# Landscape characteristics and socio-ethnic factors influencing farmers' attitudes towards adopting agroforestry in Nepal



# MASTER'S THESIS

Prague 2023

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## CZECH UNIVERSITY OF LIFE SCIENCES PRAGUE

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# DIPLOMA THESIS ASSIGNMENT

## Bachelor of Science Mitchell Collins, BS

International Development and Agricultural Economics

#### Thesis title

Landscape characteristics and socio-ethnic factors influencing farmers attitude towards Agroforestry adoption

#### **Objectives of thesis**

- 1. Farmers' perspectives on factors that affect their decisions on Agroforestry
- 2. Effect of landscape characteristics on Agroforestry
- 3. Effect of socio-ethnic factors on Agroforestry

#### Methodology

Study Area:

Three different agroecological regions of Nepal. Mountain region (Mustang District), Hilly Region (Baglung District), and Terai/Plain region (Chitwan District)

Data Collection:

Data will be collected through a questionnaire survey from three different agro-ecological regions of Nepal. The expected sample is 350-400 within all three regions. The development of the questionnaire will be derived from literature reviews and related theories.

#### Sampling Technique:

Our data will be collected in the multistage sampling method. The three agro-ecological regions are selected purposively and 350-400 smallholder farmers will be interviewed randomly.

#### **Data Analysis**

Econometrics analysis such as logit and multinominal model will be used. Data will be analyzed via Excel and SPSS.

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#### The proposed extent of the thesis

50

#### Keywords

OF LIFE SCIENCE Nepal, climate change, farmers, agroforestry, landscape

#### Recommended information sources

Adoption of agroforestry practices in Bangladesh as a climate change mitigation option: Investment, drivers, and SWOT analysis perspectives. Environmental Challenges 7.

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#### Expected date of thesis defence Master's Thesis - SS 2022/23 - FTA

#### The Diploma Thesis Supervisor

doc. Ing. Miroslava Bavorová, Ph.D.

#### Supervising department

Department of Economics and Development

Electronic approval: 16. 3. 2023

doc. Ing. Miroslava Bavorová, Ph.D. Head of department

Electronic approval: 3. 4. 2023

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Prague on 21. 04. 2023

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# Appendices

## List of the Appendices:

Appendix 1: Questionnaire

## **Appendix 1: Questionnaire**

## Dear Sir/Madam,

### Namaste,

I would like to ask you to fill in the following questionnaire. I am a Ph.D. student at the Czech University of Life Sciences Prague, Czech Republic. I am conducting this study to learn more about the "*Effect of climate change on food security of smallholder farmers in Nepal and on migration*". All the data are collected anonymously. I would appreciate it very much if you would fill in and help me to conduct this research. Thank You!

ID number	
(M/D/C M=	
Mustang,	
B=Baglung, C=	
Chitwan)	
Name of the	
respondent	
Phone	
number/email	
Rural	
Municipality name	
and ward number	
Date of the	
interview	
Geographical	
coordinates/	
Altitude	

### Section A: Household section- Socio-economic characteristics

No.	Questions	Responses
1	Sex of the HH (Household Head)	Female $\Box = 0$
		Male $\Box = 1$
2	Current age of HH (in years)	
	Age Category, less than 20, 21-30, 31-40, 41-50,	
	51-60, 61 and more	
3	What is your highest education level?	Nonformal□=1
		Primary□=2
		Secondary $\Box = 3$
		Higher Secondary $\Box = 4$

		Undergraduate $\Box = 5$
		Postgraduate $\Box = 6$
4	What is your marital status?	Single $\Box$ =1
		Married $\Box = 2$
		Divorced $\Box=3$
		Widowed□=4
		others=5
5	What ethnicity do you belong??	Kshetri = 1
		Brahmin = 2
		Magar =3
		Tharu=4
		Tamang=5
		Newar=6
		Sherpa=7
		Gurung =8 Thakali=9
		Dalit=10
		Rai=11
		Madeshi=12
		Others=13
		Others=15
6		
6a	Total number of Children (<15 years):	
6b	Total number of adults (16-59 years): active labor	
6c	Total number of adults (>59 years):	
6d	Total number of males in household	
6e	Total number of females in household	
7	How long have you been working as a farmer? (years)	
8	Are you involved in some farmers group (Krishi	Yes□=1
	samuha)?	No □ =2
8a	If yes, what kind of group it is?	Producer□=1
		Processors□=2
		Marketing□= 3
		Multipurpose□=4
		Others (specify)=5
9	Do you own land?	Yes 🗆=1
		No □= 2
9a	What is the total amount of land your household owns	
	now (ha)? (1 Ropani=0.050873704704 hectare)	
9b	What is the total amount of land your household	
	cultivated (both owned & rented) (in ha) this year?	
9c	What is the total amount of land your household use	
	for pastoral (both owned & rented) (in ha) this year?	
9d	What is the land you cultivated 5 years ago?	More than now=1
		Less than now=2
		Equal as now=3
		N/A=99

10	What type of farming you have? Arable farming (Crops					
-		Pastoral farming (Live	-			
		Mixed farming (Arabl	-			
10a	Please indicate what are the major crops you	Main crops	In %			
	cultivated in last 2-3 years?	Rice=1				
		Wheat=2				
		Maize=3				
		Millets=4				
		Barley=5				
		Buckwheat=6				
		Oats=7				
		Potato=8				
		Beans=9				
		Vegetables=10				
		Fruits=11				
		Others=12				
10b	How is your food production compared to 5 years	Less now =1				
	ago?	More now=2				
		No difference=3				
		Don't know=4	1			
10c	Please indicate what are the major livestock you have?		Number			
		Livestock				
		Cattle 🗆=1				
		Yak/nak 🗆=2				
		Horse/ Mule = 3				
		Goat/Sheep □=4				
		Buffalo □=5				
		Pigs□=6				
		Chicken 🗆 = 7				
		Others=8				

## Section B: Access to credit & market

10       Others (please specify) Labor work= 11         1a       What share of the household income do you get by your farm (in%)?       0-25% □ =1         26-50% □=2       26-50% □=2						
Borrow from friends and relatives □=3         Farmers Group □=4         Non-profit organizations □=5         Remittances □=6         Family saving/ remittance= 7         family saving/Farmers group=8         Family saving/Borrow from friends and relative         Family saving/loan from the bank and governing         10         Others (please specify) Labor work= 11         1a       What share of the household income do you get by your farm (in%)?						
Farmers Group □=4         Non-profit organizations □=5         Remittances □=6         Family saving/ remittance= 7         family saving/Farmers group=8         Family saving/Borrow from friends and relative         Family saving/loan from the bank and governing         10         Others (please specify) Labor work= 11         1a       What share of the household income do you get by your farm (in%)?         0       0-25% □=1         26-50% □=2						
<ul> <li>Non-profit organizations □=5 Remittances □=6 Family saving/ remittance= 7 family saving/Farmers group=8 Family saving/Borrow from friends and relative Family saving/ loan from the bank and govern 10 Others (please specify) Labor work= 11</li> <li>What share of the household income do you get by your farm (in%)?</li> <li>O-25% □=1 26-50% □=2</li> </ul>						
<ul> <li>Remittances □=6</li> <li>Family saving/ remittance= 7</li> <li>family saving/Farmers group=8</li> <li>Family saving/Borrow from friends and relative Family saving/ loan from the bank and governed to the bank and governed to the bank share of the household income do you get by your farm (in%)?</li> <li>Oreginal Content of the bank share of the household income do you get by your farm (in%)?</li> </ul>						
Hamily saving/remittance= 7       Family saving/Farmers group=8         Family saving/Borrow from friends and relative       Family saving/Borrow from friends and relative         Family saving/loan from the bank and governe       10         Others (please specify) Labor work= 11       Others (please specify) Labor work= 11         Image: 10 you get by your farm (in%)?       0-25% □ =1         26-50% □=2       26-50% □=2						
family saving/Farmers group=8         Family saving/Borrow from friends and relative         Family saving/loan from the bank and governe         10         Others (please specify) Labor work= 11         1a         What share of the household income do you get by your farm (in%)?         0-25% □ =1         26-50% □=2						
Family saving/Borrow from friends and relative         Family saving/loan from the bank and governing         10         Others (please specify) Labor work= 11         1a         What share of the household income do you get by your farm (in%)?         0-25% □ =1         26-50% □=2						
Family saving/ loan from the bank and govern 10         Others (please specify) Labor work= 11         What share of the household income do you get by your farm (in%)?         0-25% □ =1         26-50% □=2	_					
10       Others (please specify) Labor work= 11         1a       What share of the household income do you get by your farm (in%)?       0-25% □ =1         26-50% □=2       26-50% □=2						
Image: Tage       Others (please specify) Labor work= 11         Others (please specify) Labor work= 11       0-25% <ul> <li>=1</li> <li>26-50%              <li>=2</li> </li></ul>	Family saving/ loan from the bank and government=					
1a       What share of the household income do you get by your farm (in%)?       0-25%          =1         26-50%          =2	-					
you get by your farm (in%)? $26-50\% \square = 2$	Others (please specify) Labor work= 11					
	0-25% 🗆 =1					
	26-50% 🗆=2					
51-75% 🗆=3	51-75% 🗆=3					
76-100% 🗆 =4	76-100% 🗆=4					
<b>2</b> Do you have off-farm occupation? Yes $\Box$ =1						
No □=2						
2aIf yes, what are the major off-farmActivitiesincome	ome					
activities? share	ire (%)					
Self-employed (Permanent work) =1						
Self-employed (temporary work) =2						
Retailer=3						
Labor work=4	Labor work=4					
Administrative(office) work=5	•••••					
Others=6						

		Never =(1)	Rarely (once a year)=2		(monthly)	Very often (weekly) =5	
3	Do you sell your farm products to the market?						
3a	Do you buy farm products from the market?						
3b	What is the nearest distance to the next market (in km and in hour)	km hours					
4	How much total money did you earn from your farm in 2020 (in NPR)?	<50,000 [] =1 50,001 to 100,000 [] =2 100,001 to 150,000 []=3					
		150,00	1 to 200,	000 □=4			

	>200,001 🗆=5									
4a	How	Food	Water	SI	helter	Cloths	Health			
	satisfied is	Very	Very	V	ery	Very	Very			
	your family with the	satisfi	satisfie	sa	tisfied $\Box$	satisfie	e satisfied			
	satisfaction		d □ =1	=1		d □ =1	$\Box = 1$			
	to cover the		Satisfie	e Sa	atisfied 🗆		Satisfie			
	following	ied $\square = 2$	d □=2	=2	ed $\square = 2$	d □=2				
	needs from	Neutr	Neutra	1,	eutral 🗌	Neutra				
	your income		$\square=3$	=3		$1 \square = 3$				
	in last 2-3 years?	Dissa	Dissati		issatisfied					
	years:	tisfied $\Box = 4$	fied $\square = 4$	<u> </u>		sfied $\Box = 4$	fied =4			
		Very dissatisfied	Very dissatisfied		ery	Very	Very			
		$\square=5$	=5			dissatisfied □ =5	=5			
			_3				_3			
5		e following sustaina	ble Yes=1							
	farming prac	ctices do you use?								
			-	Rotating crops, =						
				Intercropping $\Box$ = Planting cover crops $\Box$ , =						
			-	cover crops g or eliminat		<b>—</b> _				
						$\Box, =$ gement $\Box, =$				
				ng livestock						
			-	agroforest	•					
				ganic fertili	• •	_,				
			Irrigatior	-						
6	How do	Seeds	Irrigatior	system	Pesticide	s Che	emical fertilizer			
	you					(eg	.urea)			
	perceive	Extremely	y E	xtremely	Ех	stremely	Extremely			
	the price of inputs?	cheap $\Box = 1$	cheap □=	=1	cheap $\Box = 1$		$ap \square = 1$			
	Not	Cheap □=	=2 C	heap $\Box = 2$	Cł	heap $\Box = 2$	Cheap $\Box = 2$			
	applicable	Affordabl	e A	ffordable	At	ffordable	Affordable			
	=5	□=3	□=3		□=3		-3			
	[	High□=4	Н	igh□=4	Hi	igh□=4	High□=4			
	[	Extremely	y E	xtremely	Ех	tremely	Extremely			
		high $\Box = 5$	high □=:	5	high $\Box = 5$		h□=5			

1	Are you aware of climate change?	Yes □ =1 , No □= 2
In t	he last 10-15 years have you experienc	ed the following changes?
2	It is generally warmer these days,	Agree $\Box$ =1 , Disagree $\Box$
		=2, No change $\Box$ =3 ,
		Don't know □=4
3	Intensity of extreme heat	Higher =1, lower=2,
		unpredictable=3, don't know=4
4	The onset of summer these days occurs,	Earlier 🗆 = 1, Later 🗆 = 2
		No change □=3, Don't know □=4
4	Duration of winter these days are,	Longer $\Box$ =1, Shorter $\Box$
		=2 No change $\Box$ =3,
		Don't know □=4
5	The amount of rainfall these days are,	Less □=1, More □= 2
		Unpredictable $\Box$ =3,
		Don't know□= 4
5a	Intensity of Rainfall	Low=1, more =2,
		unpredictable=3, don't know=4
6	The onset of rainfall these days occurs,	Earlier 🗆 = 1, Later 🗆 = 2
		No change □=3, Don't know □=4
7	Snowfall these days starts,	Earlier □= 1, Later □=2
		No change □=3, Don't know □=4
7a	Intensity of coldness	Low=1, more =2, unpredictable=3, don't know=4

8	Incidence of drought these days are,	Higher □=1 , Lower□=2
		No change
9	Incidence of fire these days are,	Higher □=1 , Lower □=2
		No change □=3, Don't know □=4
10	Incidence of floods and landslides these days	Higher □=1 , Lower □=2
	are,	No change □=3, Don't know □=4
11	Incidence of avalanches these days are,	Higher □=1 , Lower □=2
		No change □=3, Don't know □=4
12	Amount of forest area these days are,	Higher □=1 , Lower □=2
		No change □=3, Don't know □=4
13	Populations of wildlife species these days are,	Higher □=1 , Lower □=2
		No change □=3, Don't know □=4
14	Blooming time of common plants these days	Earlier □= 1, Later □=2
	occurs,	No change □=3, Don't know □=4
15	Plantation of major crops these days occurs,	Earlier □= 1, Later □=2
		No change □=3, Don't know □=4
16	Harvesting of major crops these days occurs,	Earlier □= 1, Later □=2
		No change □=3, Don't know □=4
17	Increase of pest and disease outbreak these	Higher □=1 , Lower□=2
	days are,	No change □=3, Don't know □=4

1	Which of the following strategies you have adopted so far?				-	-	u using years)?	
	Crop diversification (e.g., different cultivars) $\Box$ =1							
	Changing planting date $\Box$ =2							
	Changing of crop planted □=3							
	Early matured varieties $\Box$ =4				•••••			
	Drought tolerant/resistant varieti	es 🗆	=5	•••••	•••••			
	Irrigation system □=6			••••••	•••••			
	Rainwater harvesting 🗆 = 7							
	reduced tillage □=8			••••••	•••••			
	Mulching 🗆 = 9			•••••				
	Agroforestry 🗆 = 10				······			
	Off-farm income							
	Fallow land=12							
	Temporary migration 🗆=13							
	Organic fertilizer=14			······				
	Other (Please specify)=1	Other (Please specify)=15						
2	How often do you have access to th	ne folle	owing inform	nation	chann	els rega	arding	
	weather information? Sources		Never-1, O		•		-	
						Everyda		
	rnet		1	2	3	4	5	
	io (e.g. weather forecast) vision (e.g. weather forecast)		1	2 2	3	4	5 5	
	ners group (Krishi Samuha)		1	2	3	4	5	
	Research Institution/University Print media (e.g. newspaper)			2	3	4	5	
				2	3	4	5	
	Mobile phone Other (please specify):		1	2	3	4	5	
Oth			1	2	3	4	5	
3	How was the influence/impact of the following factor			on yo	ur farn	n produ	ction in	
-	the last 5 years?							
	Factors	V	ery negative positiv		-	2, no ch sitive-5	-	

Section D: Climate change adaptations (1,2) strategies and vulnerability (3,4)

Rise in temperature	1	2	3	4	5	
Erratic rainfall	1	2	3	4	5	
Drought	1	2	3	4	5	
Windstorm	1	2	3	4	5	
Overflooding	1	2	3	4	5	
Hailstorm	1	2	3	4	5	
Crop pest and disease outbreak	1	2	3	4	5	
Livestock disease outbreak	1	2	3	4	5	
Decrease in soil quality	1	2	3	4	5	
Other (please specify)	1	2	3	4	5	

4	How often following consequences of climate change occurred in last 5 years?					
	Factors	sometimes (	2-3 tim years)	es in 5	years) /ays (m	years)-2, -3, often (4-5 ore than 5
Red	uce crop yield	1	2	3	4	5
sho	rtage of livestock feeds	1	2	3	4	5
Dea	d of livestock	1	2	3	4	5
Cro	p pest and disease outbreak	1	2	3	4	5
Des	truction of farmland	1	2	3	4	5
	truction of habitat (human being & nal)	1	2	3	4	5
Phy	sical injury to the family member/me	1	2	3	4	5
	d of family member (except thquake)	1	2	3	4	5
Lacl	k of financial capital	1	2	3	4	5
	ers (please specify)	1	2	3	4	5
	ers (please specify)	1	2	3	4	5

## Section E:

	Food consumption and food sources (FCS)						
Wł	no decides what w	Female =1	] Male □=2				
		Female	Male				
1	How many meals eat yesterday?	s did the adults (18+) in	1.	2.			
2	How many meals 17 eat yesterday	s did the children betwe ?	en the age of 5-	1.	2.		
3	How many meals < 5 eat yesterday	s did the children betwe y?	en the age of 2-	1.	2.		
4	Food items/groups	Examples	4.1. How many days over the last 7 days, o members of your household eat the following food items, prepared and/or consumed at home?		4.2. How was this food acquired? Write the main source of food for the past 7 days		
			Days		Source		
1	Cereals or tubers=1	Rice, potato, naan etc.					
2	Pulses and groundnuts=2	Beans, peas, Cashew nuts					
3	Milk and milk products=3	Fresh milk, powdered milk, yogurt, cheese, other dairy products					
4	Eggs, meat, fish, shells=4	Organ meat, flesh meat, fish, eggs, etc.					
5	Vegetables=5	carrots, spinach etc.					
6	Fruits=6	Apple, banana, etc.					

7	Sugar=7	Sugar, honey, jam, cakes, pastries, (sugary drinks)						
8	Oil=8	Vegetable/palm oil, butter, ghee, other fats		butter, ghee, other				
9	Condiments=9	Spices, tea, coffe salt, spices, toma sauce	-					
Food acquisition codes: 01 = purchase (cash) 02 = purchase (credit)		(food card) excha		arter and nge orrowing	(plant	athering of wild foods s/insects) nunting/fishing		
03 = food assistance (General Food Distribution)		06 = support from relatives/friends	09 = beggiı	ng/scavenging	12 = 0	own production		

	HOUSEHOLD COPING STRATEGIES (rCSI)					
	During the last 7 days, were there days (and, if so, how many) when your household had to employ one of the following strategies (to cope with a lack of food or money to buy it)?	Frequency (number of days from 0 to 7)				
1	Relied on less preferred, less expensive food=1					
2	Borrowed food or relied on help from friends or relatives=2					
3	Reduced the number of meals eaten per day=3					
4	Reduced portion size of meals at meals time=4					
5	Restrict consumption by adults in order for young children to eat=5					

## Section F: Migration

**1.** Household size and migrants

VariableMale =2Female =1Household size excluding migrants (current) $\blacksquare$ How many have migrated internally (inside the country) for the past 10 years $\blacksquare$ How many have internationally (outside of the country) migrated for the past 10 years $\blacksquare$ <b>3</b> Do you receive remittances or items from migrant membersYes $\square$ =1 $\blacksquare$ =2, N/A=99Liv								
How many have migrated internally (inside the country) for the past 10 years         How many have internationally (outside of the country) migrated for the past 10 years         3       Do you receive remittances or items from migrant       Yes □=1								
country) for the past 10 yearsHow many have internationally (outside of the country) migrated for the past 10 years3Do you receive remittances or items from migrantYes □=1								
How many have internationally (outside of the country) migrated for the past 10 years3Do you receive remittances or items from migrantYes □=1								
country) migrated for the past 10 years3Do you receive remittances or items from migrantYes □=1	country) for the past 10 years							
country) migrated for the past 10 years3Do you receive remittances or items from migrantYes □=1								
<b>3</b> Do you receive remittances or items from migrant Yes $\Box$ =1								
	No							
<b>3a</b> If yes, how often do you received the following items from migrants for the	past							
5-10 years								
Never-1 Develu Cometime Often Very O								
Never=1 Rarely Sometime Often Very O								
(once a s (Few (Monthly)= (Week	(ly)=							
year)=2 times a 4 5								
year)=3								
Money								
Farm input								
Cloth &								
household								
belongings								
Food items								
Others								
Not								
applicable=x								
4 Please indicate the share of your livelihood which 0-25 % $\Box$ =1, 26-50%	5 🗆							
was covered by money or stuff sent by migrant =2								
members last year? $51-75\%$ $\Box=3$ , More the second	han							
75% 🗆=4								
5 Please indicate the importance of remittances to cover the following (1 low	est							
importance, 5 highest importance)								
Importance 1-not at 2-slightly 3-Neutral 4-very 5-								
of all importan important Extrem	-							
remittances importan t import	ant							
t								
Buying food/								
cloths								

	Education							
	Education							
	Health							
	expenses							
	Buying							
	Seed/							
	fertilizer							
	Buying							
	pesticides							
	Buying Agri							
	tools							
	Repay debts							
	Financing							
	migration							
	costs of							
	additional							
	family							
	members							
	House							
	construction							
	and							
	maintenanc							
	e							
	Others							
		<u> </u>						
6	Ideally, if you had the opportunity, would you like					Yes 🗆=1	No □=2	
	to move <b>Temperarily</b> to another place?							
	move <b>Temporarily</b> to another place?							
6a	Where do you	want to	nove	?		To the same/		
						village=1, to t	ne urban	
						area=2		
6	Ideally, if you h	lad the o	pport	unity, wo	uld you like	Yes 🗆=1	No □=2	
b	to		n o t h	or place?				
7	move <b>Permane</b>	-		-	in the next			
7						Yes 🗆=1	No 🗆=2	
-	12 months?		0.0		ion for this			
8	If 7 is Yes, have you done any preparation for this Yes $\square = 1$ No $\square = 2$						INO ∐=2	
	move?	buy properties or making arrangements for						
		pherties	n ma					
0	the move)	c from	Education $\Box$ =1 Search for work $\Box$ =2 , Job transfer/					
9	If any question: 6 to 8 is Yes,	5110111					-	
	What is the pri	many		•	=3, prought=	4 , Flood $\Box$ =5,		
	reason you cho	-						
	move?					i=δ,		
	move? Do not own Agri land to work here $\Box = 9$							

	(Multiple selection allowed)	Don't have enough land □=10, Poor quality of land □ =11 Other (Please specify) =12		
10	How often do your household had to deal with the lack of labor available for work in agriculture in last 5 years?	Never □=1, Sometimes □=3, Always □=5	Rarely □=2, Often □=4,	

## Section G: Covid-19 in food security

	COVID-19 and Food security				
1	Which statement best reflects your food situation in this pandemic time?	<ul> <li>I increased my food intake □=1</li> <li>I had no difficulties eating enough food</li> <li>□=2</li> <li>I ate less preferred foods □=3</li> <li>I skipped meals or ate less than usual</li> <li>□=4</li> <li>I went one whole day without eating</li> <li>□=5</li> </ul>			
2	Does your household had/have food stock?	Yes, less than 1 week $\Box$ =1, Yes, 1 week $\Box$ =2 Yes, 2-3 weeks $\Box$ =3, Yes, 1 months $\Box$ =4 Yes, more than 1 months $\Box$ =5			
3	What is the situation of your household income in this pandemic time?	Increased in salary/revenue □=1, No change □=2 Job loss □=3, Reduced salary/revenue □=4 Had to resort to alternative source of income□=5			
4	Looking ahead, how do you expect your livelihood will be impacted as result of disruptions from COVID-19?	No impact □=1, slightly impact □=2 Somewhat impact □=3, very impact □=4, Severe impact □=5			

5	In this pandemic and the lockdown started, have you received any food, cash, or other support from anyone else that you do not usually receive?	Yes □=1 No □=2
5.1	If 5=yes, could you please indicate the source?	Government □=1 Relatives □=2 Neighbors □=3 Community leaders □=4 NGOs □=5 Other (please specify)=6
6	Is someone in your family had to return home from abroad due to COVID-19?	Yes □=1 No □=2