

CZECH UNIVERSITY OF LIFE SCIENCES PRAGUE

Faculty of Tropical AgriSciences



**What are the opportunities for Agrivoltaics in
Europe and Global South?**

BACHELOR'S THESIS

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Declaration

I hereby declare that I have done this thesis entitled *What are the opportunities for Agrivoltaics in Europe and Global South?* independently, all texts in this thesis are original, and all the sources have been quoted and acknowledged by means of complete references and according to Citation rules of the FTA.

In Prague 1.4.2024

.....

Matyáš Němeček

Acknowledgements

I am profoundly grateful for the support and opportunities provided by the Czech University of Life Sciences Prague, which has been instrumental in shaping my academic journey. The experiences gained during my years at this institution were not always easy, but they were incredibly enriching and pivotal in reaching this point in my studies. The challenges faced have taught me resilience and have profoundly contributed to my personal and professional growth.

I extend my heartfelt thanks to my family and friends, whose unwavering support and encouragement have been my cornerstone. Their belief in my abilities and their constant reassurance have been a source of strength and motivation, especially during the most demanding times of my studies.

Abstract

This thesis investigates Agriphotovoltaics (APV) as a sustainable technology that merges solar energy production with agriculture to address global energy, food security, and sustainability challenges. Through a comprehensive literature review, case studies, and scenario analysis, the study assesses APV's effectiveness across Europe and the Global South.

Findings indicate that APV enhances land use efficiency and offers economic and environmental benefits. Innovations such as bifacial solar panels and adjustable configurations optimize energy production while supporting agricultural output. Economic analyses reveal that despite high initial costs, the long-term benefits, including increased crop yields and energy savings, provide substantial returns on investment. Policy support is identified as crucial for APV adoption, necessitating robust incentives and regulatory frameworks.

Case studies illustrate APV's integration with European farming practices, increasing productivity without sacrificing crop quality. In the Global South, APV aids in rural electrification and improves climate resilience, addressing regional issues like water scarcity and energy access.

Conclusively, APV is positioned as a key innovation in renewable energy and sustainable agriculture. The thesis advocates for more interdisciplinary research, targeted policy interventions, and stakeholder engagement to overcome barriers and maximize APV's potential. These efforts can significantly contribute to sustainable development goals by optimizing resource use and advancing renewable energy transitions.

Key words: Agriphotovoltaics, agrivoltaics, sustainable agriculture, renewable energy, land use efficiency, policy frameworks, Europe, Global South

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List of the abbreviations used in the thesis

APV – Agriphotovoltaics (Agrivoltaics)

PV - Photovoltaics

IoT – Internet of Things

SDG – Sustainable Development Goals

CAP – Common Agricultural Policy

EU – European Union

1. Introduction

Agriphotovoltaics (APV) represents an innovative approach to land management that synergistically combines solar energy production and agriculture. This integration not only optimizes land use but also offers a sustainable solution to meet the growing demand for renewable energy and agricultural output in a context where arable land is diminishing (Dupraz et al., 2011). Recent studies further emphasize the global potential and benefits of integrating photovoltaic systems within agricultural frameworks, highlighting the enhancement of land productivity and environmental sustainability (Barron-Gafford et al., 2019).

The concept of APV addresses the critical dual challenges of energy production and food security, which are worsened by global population growth and the decreasing availability of cultivable land. Research indicates that APV systems can increase total land productivity by up to 60% by allowing simultaneous agricultural use and electricity generation (Dupraz et al., 2011). Such dual utilization not only mitigates land competition but also boosts the economic value of the land, offering viable solutions to pressing global issues of energy and food security (Amaducci et al., 2018).

Globally, the implementation of APV systems varies, reflecting adaptations to regional climate, agricultural practices, and energy needs. In temperate regions, for instance, APV has been successfully integrated with crops like lettuce and potatoes, benefiting from the partial shading provided by solar panels which reduces water stress and potentially increases yield (Marrou et al., 2013). In contrast, arid regions use APV systems to minimize water evaporation and control soil temperature, aiding the cultivation of drought-tolerant crops (Hassanpour Adeh et al., 2018).

Despite these benefits, the adoption of APV systems faces several challenges including high initial costs, land use conflicts, and the need for technology that accommodates agricultural machinery (Barron-Gafford et al., 2019). Social acceptance is also crucial, as the success of APV depends on the willingness of farmers and landowners to integrate new technologies into traditional farming practices (Schindele et al., 2020).

Moreover, APV technology offers broader environmental benefits by reducing dependence on fossil fuels and decreasing greenhouse gas emissions, thereby contributing to climate change mitigation. APV systems also enhance biodiversity and improve soil moisture retention, providing habitats for pollinators and improving the ecological quality of agricultural landscapes (Dupraz et al., 2011; Hassanpour Adeh et al., 2018).

In conclusion, APV systems are a significant innovation in the fields of renewable energy and agriculture. They present a strategic response to global challenges, providing a sustainable model for future agricultural and energy production. However, their broader implementation and success will depend on overcoming the challenges through comprehensive strategies that include policy support, technological advancements, and stakeholder engagement (Barron-Gafford et al., 2019).

This thesis seeks to address the gaps in current research by conducting a comprehensive review and synthesis of existing knowledge on APV, assessing its potential for optimizing land use in both energy and agricultural outputs across various regional contexts. This endeavour will contribute to both academic and practical understanding of APV, guiding future research directions and informing policymakers and stakeholders about the implementation of APV systems (Schindele et al., 2020).

Main Research Question

How can the integration of agriphotovoltaics (APV) be optimized to enhance both energy production and agricultural productivity, considering diverse geographical regions and agricultural contexts?

Sub-questions

1. **Crops and Climatic Adaptability:** What are the most suitable types of crops for APV systems in varying climatic conditions and agricultural landscapes?
2. **Socio-economic Impact:** How do socio-economic factors influence the adoption and economic viability of APV systems for farmers, particularly in Europe compared to the Global South?
3. **Technical Challenges:** What are the primary technical challenges in the design, installation, and maintenance of APV systems, and what potential solutions exist to address these challenges?

2. Aims of the Thesis

The bachelor's thesis aimed to explore and expand the knowledge base regarding the efficiency, viability, and sustainability of Agriphotovoltaics (APV) systems, focusing on their capacity to simultaneously enhance agricultural productivity and renewable energy production. This was achieved through systematic analysis and targeted research within various geographical and agricultural contexts.

Primary Aim

The primary goal of this thesis was to comprehensively assess and explain the operational dynamics of APV systems, specifically addressing their potential to integrate solar energy production seamlessly with agricultural practices.

Specific Objectives

1. **Evaluate the Solar and Agricultural Efficacy of APV Systems:** The study analysed how APV systems could be optimized to maximize both solar power output and crop yield under diverse environmental conditions. This objective was supported by the hypothesis that optimized APV configurations lead to enhanced dual productivity.
2. **Examine Economic and Policy Factors Influencing APV Adoption:** This involved investigating the economic viability and the influence of policy frameworks that either facilitate or hinder the adoption of APV systems in Europe and the Global South. The hypothesis tested was that supportive policy environments significantly increase the adoption rates of APV technologies.
3. **Identify Best Practices and Technological Innovations in APV:** The research identified and promoted best practices and technological innovations that have demonstrated significant benefits and challenges in the deployment of APV systems.

Research Questions

- How can APV systems be designed to optimize the balance between solar power generation and agricultural productivity?

- What are the primary economic and policy barriers to the adoption of APV systems, and how can these be overcome?
- Which technological innovations in APV have proven most effective in increasing the sustainability and efficiency of these systems?

Scope of the Study

The study was primarily focused on APV systems in Europe and the Global South, examining a variety of climatic and agricultural settings to understand the versatility and adaptability of APV technologies. The research was bounded by current technological capabilities and the availability of data from these regions.

Theoretical or Conceptual Framework

The research was framed within the context of sustainable development, particularly the nexus of energy, food, and water. This framework was pivotal in evaluating APV systems as integral solutions to broader environmental, economic, and social challenges.

Significance and Contribution

The thesis contributed to the academic field of renewable energy and sustainable agriculture by providing an in-depth analysis of APV systems. It aimed to inform policymakers and practitioners about the benefits and limitations of APV, advocating for policies that support the integration of renewable energy into agricultural practices. The findings were anticipated to aid in the design of more effective APV systems that can be scaled and adapted to meet global sustainability goals.

Through structured exploration, the thesis offered actionable insights and solutions that promoted the dual utilization of land for energy production and agriculture, contributing to a more sustainable and energy-efficient agricultural future.

3. Methodology

This chapter details the methodologies employed to gather and analyse the data used in this thesis on Agriphotovoltaics (APV), focusing on how information was obtained, the selection criteria for literature, the keyword combinations used, and the extent of the literature reviewed.

Research Design

The research was designed as a systematic literature review aimed at identifying, evaluating, and synthesizing available research relevant to the efficacy, viability, and sustainability of APV systems. This approach provided a balanced overview, minimized bias, and laid a robust foundation for the conclusions drawn.

Search Strategy

Comprehensive literature searches were conducted in the past, using major academic databases such as Google Scholar, ResearchGate, Science Direct, and Elicit. The search involved keyword combinations including "Agriphotovoltaics," "APV systems," "sustainable agriculture," "renewable energy integration," and "land use efficiency." Boolean operators AND, OR, and NOT were employed to refine the results. The focus was on literature published within the last ten years to ensure relevance and currency, reflecting recent advancements in the field.

Inclusion Criteria:

- Studies directly relevant to APV design, implementation, and outcomes.
- Publications in peer-reviewed journals or reputable industry reports.
- Research encompassing diverse geographical locations, providing global perspectives on APV.

Exclusion Criteria:

- Non-peer-reviewed articles and opinion pieces without empirical backing.
- Studies older than ten years, unless seminal to the field.
- Research with a geographical focus not applicable to the contexts under study (Europe and the Global South).

Data Extraction

Data was systematically extracted from each selected study, focusing on:

- The objectives of the study and its relevance to APV.
- Employed methodologies, ensuring adherence to rigorous scientific standards.
- Key findings concerning APV system efficiencies, stakeholder perspectives, and policy implications.
- Authors' conclusions, particularly regarding the impact on agricultural and energy production.

Quality Assessment

- The impact factor of the publication journals.
- The credentials and affiliations of the authors.
- The number of citations received, indicating the influence and acceptance of the research within the scientific community.

Synthesis Method

The data were synthesized using a thematic analysis approach. Findings were categorized according to themes related to APV's technological, economic, social, and environmental dimensions. This method allowed for a comprehensive understanding of the literature and highlighted areas needing further investigation.

Theoretical Framework

The review was guided by a theoretical framework focused on sustainable development, specifically the nexus of energy, food, and water. This framework aided in interpreting APV systems as both technological innovations and solutions to broader sustainability challenges.

Limitations of the Methodology

The systematic review approach, while robust, had limitations including potential publication bias—where studies with positive outcomes are more likely to be published. The scope of databases searched might not have captured all relevant literature, especially from lesser-known journals or specific regional studies.

4. Literature Review

4.1. Agriphotovoltaics/Agrivoltaics (APV)

Agrivoltaics, or Agriphotovoltaics (APV), merges the realms of agriculture and solar photovoltaic energy production, forming a dynamic land management strategy that addresses pressing global challenges. Initially conceptualized in the 1980s by Goetzberger and Zastrow, APV was designed to optimize land use by integrating solar energy generation directly with crop production, a concept that has evolved to meet modern sustainability standards (Goetzberger & Zastrow, 1982).

Today, the significance of APV is amplified by the escalating issues of climate change and global food security. As populations grow and urban expansion consumes arable land, APV systems present a sustainable solution by overlaying solar PV panels on crop fields, enhancing energy production while simultaneously creating beneficial microclimates for agriculture (Dupraz et al., 2011). These systems not only generate renewable energy but also improve water use efficiency and reduce crop water stress, offering a vital response to the diminishing availability of cultivable land due to environmental degradation and urbanization (Barron-Gafford et al., 2019).

The broad goals of APV include improving land productivity, minimizing the competition between energy production and food security, and aiding in the decarbonization of the energy sector. This literature review evaluates the operational efficiencies, scalability, and socio-economic impacts of APV systems, providing a detailed examination of their role in promoting sustainable rural development and meeting both local and global energy and food demands.

In addition to their operational benefits, APV systems are strategically aligned with multiple Sustainable Development Goals (SDGs), such as SDG 7 (Affordable and Clean Energy), SDG 11 (Sustainable Cities and Communities), and SDG 13 (Climate Action). Recent studies underscore the potential of APV to significantly reduce irrigation water use and mitigate local heat island effects in agricultural settings, which is crucial for enhancing global climate resilience (Barron-Gafford et al., 2019).

Recent research has also explored the economic aspects of APV, noting that while the initial setup costs can be high, the long-term benefits of improved crop yield and energy production provide a compelling return on investment. Innovations in technology, such as the development of transparent solar panels and the use of tracking systems to optimize sunlight exposure for both energy generation and crop growth, have further enhanced the feasibility and attractiveness of APV systems (Weselek et al., 2019).

In synthesizing the latest findings, this review also identifies gaps in current research, particularly the need for more comprehensive socio-economic evaluations and long-term studies on the environmental impacts of APV systems. There is a call for further exploration into how these systems can be integrated into different agricultural contexts globally, particularly in regions that face significant challenges related to water scarcity and food security.

4.2. Definitions of APV

Agriphotovoltaics (APV) represents an innovative integration of solar photovoltaic (PV) energy generation with traditional farming, creating a multifunctional system that produces both electricity and agricultural goods on the same plot of land. This strategic combination boosts land-use efficiency and addresses the increasing demand for renewable energy and sustainable agricultural practices simultaneously.

At its essence, APV involves the strategic installation of solar PV panels over agricultural fields, allowing for coexistence and synergistic benefits between crop cultivation and solar energy production. The term "agriphotovoltaics" merges "agriculture" and "photovoltaics," highlighting the dual functionality of these systems in energy and agricultural sectors (Barron-Gafford et al., 2019).

4.2.1. Core concepts of APV

Dual Land Use: APV systems are thoroughly designed to maximize land utility, producing essential food crops and clean energy concurrently. This dual functionality is crucial in regions where land is at a premium, whether due to high population density or limited agricultural space.

Implementing APV systems in such areas enhances economic and environmental sustainability by effectively utilizing every square meter of land for multiple purposes.

For example, studies show that integrating solar panels with crop production can increase total land productivity by up to 70%, demonstrating significant benefits in both energy generation and agricultural output (Barron-Gafford et al., 2019).

Microclimate Management: The installation of solar panels influences the microclimate around the crops. By providing necessary shade, these panels help in reducing soil moisture evaporation and protecting plants from extreme heat, which can enhance growth conditions and improve water use efficiency significantly (Hassanpour Adeg et al., 2018).

Energy and Agricultural Synergy: APV systems uniquely harness the interplay between solar energy production and agriculture. While the panels generate renewable energy, they also create a moderated microclimate that can reduce plant stress and promote better growth. Additionally, the natural process of evapotranspiration from the crops can cool the panels, potentially increasing their efficiency—an important factor as solar efficiency typically decreases at higher temperatures (Weselek et al., 2019).

Sustainability and Resource Optimization: APV reduces the competition for land between energy production and agriculture, promoting a more sustainable land use model. These systems integrate seamlessly into existing agricultural landscapes, ensuring food security while also producing renewable energy (Schindele et al., 2020).

4.2.2. Integration and optimization

Integrating APV systems into agricultural practices requires careful planning regarding the design and orientation of solar panels and the selection of suitable crop types. These elements are vital for the successful operation of APV systems, ensuring that both energy and agricultural yields are optimized to their potential (Marrou et al., 2013).

Understanding these foundational definitions and concepts is crucial for stakeholders across the agricultural and energy sectors, offering insights into the operational benefits and challenges of APV systems.

This knowledge sets the groundwork for subsequent detailed examinations of APV types, configurations, and their broader implications for sustainable development.

4.3. Types of APV systems

Agrivoltaics (APV) integrates agriculture and photovoltaic solar energy production on the same land, offering various system designs tailored to different agricultural and energy production needs. This section explores the primary types of APV systems, each characterized by its configuration and the specific benefits it brings to both energy generation and agriculture.

Ground-Mounted Systems

Ground-mounted APV systems are flexible in terms of layout and scalability, suitable for various agricultural practices. These systems are installed at heights that allow for agricultural operations to continue beneath them while ensuring sufficient sunlight reaches the crops.

Advantages:

- Maximizes land use efficiency and adaptable to different crop types.
- Easier to install and maintain, offering scalable solutions for many farms (Dupraz et al., 2011).

Challenges:

- Design must minimize shading to avoid negatively impacting crop growth.

Rooftop Systems

Rooftop APV systems utilize the space on roofs of agricultural buildings such as barns or greenhouses, integrating solar panels to generate electricity.

Advantages:

- Reduces energy costs by generating electricity on-site.
- Protects buildings by reducing direct solar exposure (Amaducci et al., 2018).

Challenges:

- Depends on the structural integrity of buildings.

- Limited by roof area available for panel installation.

Vertical Systems

Vertical APV systems involve solar panels installed in a vertical orientation along the sides of agricultural fields, optimizing space and reducing ground coverage.

Advantages:

- Effective in regions with low solar angles, enhancing light capture without significantly shading crops.
- Beneficial in narrow or confined fields (Barron-Gafford et al., 2019).

Challenges:

- Typically, yields less energy per panel compared to other configurations.
- Higher initial installation costs and complexity.

Greenhouse Integrated Systems

Solar panels are integrated into the structure of greenhouses, providing control over light and temperature beneficial for plant growth.

Advantages:

- Helps regulate internal greenhouse temperature.
- Generates energy for greenhouse operations, enhancing efficiency (Weselek et al., 2019).

Challenges:

- Requires sophisticated control systems to manage light levels.
- Higher setup and operational costs.

Animal-Integrated Systems

This innovative type involves integrating solar panels above pastures or other animal grazing areas, often used in dairy and sheep farms. These systems protect animals from direct sunlight, potentially reducing heat stress and increasing comfort, which can improve milk production and overall animal health.

Advantages:

- Provides shelter for animals, enhancing animal welfare.
- Can improve pasture quality and water conservation by reducing evaporation (Schindele et al., 2020).

Challenges:

- Requires careful planning to ensure animal safety and access.
- Potential challenges with animal behaviour and interaction with the PV infrastructure.

4.4. Current state of research

Agriphotovoltaics (APV) has emerged as a multidisciplinary field combining renewable energy production with agricultural practices, aiming to optimize the dual use of land. The research into APV systems has grown substantially, driven by the need to address global challenges of energy security, food production, and environmental sustainability.

Technological Developments in APV

Recent technological advancements in APV focus on improving the compatibility and efficiency of solar photovoltaic systems within agricultural landscapes. Studies like those by Barron-Gafford et al. (2019) have demonstrated how APV systems can significantly enhance land productivity. These systems not only generate renewable energy but also improve the microclimatic conditions essential for plant growth, such as reduced soil evaporation and moderated temperature extremes.

Socio-Economic Implications

The socio-economic impacts of APV are profound, particularly in their capability to enhance the economic viability of farms. Amaducci et al. (2018) discuss the dual revenue streams from both energy production and agricultural output, which are critical in making APV systems financially attractive to farmers. However, the adoption is also influenced by initial costs and the complexity of integrating new technologies into traditional farming practices.

Environmental Contributions

APV systems offer considerable environmental benefits by reducing the need for additional land for energy production, thus preserving more land for natural ecosystems or other uses. The integration of solar panels with crop production helps in conserving biodiversity and managing land more sustainably. Moreover, APV systems contribute to water conservation, a critical aspect in arid and semi-arid regions, by reducing water loss due to evaporation (Barron-Gafford et al., 2019).

Policy and Regulatory Considerations

The policy landscape for APV is evolving, with a need for frameworks that support the dual-use nature of land and provide incentives for the adoption of renewable energy technologies. Regulatory support is crucial for overcoming economic barriers and facilitating wider deployment of APV systems. Effective policies can drive the integration of renewable energy goals with agricultural development, thereby enhancing the scalability of APV solutions.

4.5. Opportunities for APV in Europe

Europe's journey towards sustainability and renewable energy integration offers a unique landscape for the exploration and implementation of Agriphotovoltaics (APV). With its diverse climates, advanced agricultural practices, and robust policy frameworks supporting renewable energy, Europe is ideally positioned to harness the benefits of APV technologies. This chapter delves into the potential of APV across various European regions, illustrating how this innovative technology can seamlessly integrate energy production with agricultural productivity.

4.5.1. Climate and solar potential

Europe's climatic diversity ranges from the sunny Mediterranean to the cooler, cloudier northern regions, each presenting distinct potentials for solar energy production. Southern European countries such as Spain, Italy, and Greece, benefit from high solar irradiance, making them prime locations for solar power generation. In contrast, northern countries like Germany and the UK, despite their lower solar irradiance, have effectively harnessed solar energy through efficient technological solutions and supportive policies. The German Energiewende policy, for instance, has been pivotal in advancing solar technology adoption, optimizing the lower sunlight levels to maintain energy efficiency (Kost et al., 2021). The variation in solar potential across Europe underscores the need for region-specific APV designs that maximize solar capture while accommodating local climate conditions.

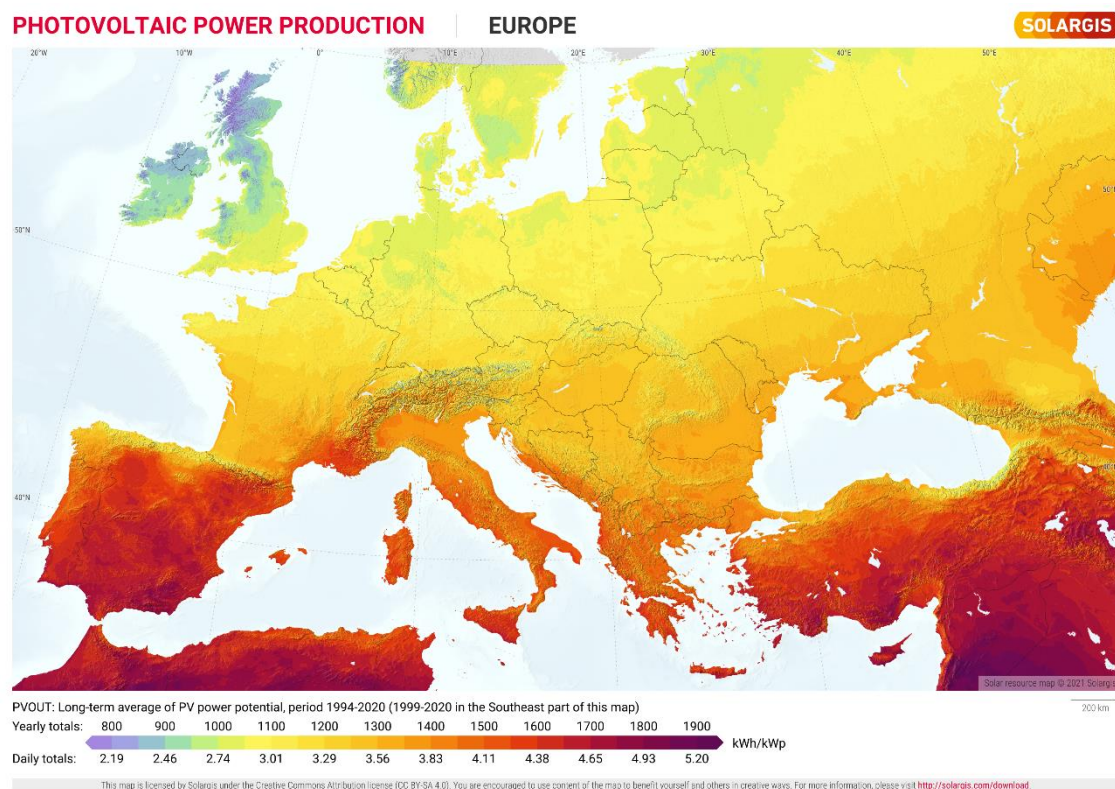


Figure 1: Photovoltaic power production potential map - Europe

Source: 2020 The World Bank, Global Solar Atlas 2.0, Solar resource data: Solargis.

4.5.2. Agricultural land availability

Agricultural practices in Europe are characterized by high land use efficiency, heavily supported by policies such as the Common Agricultural Policy (CAP), which promotes sustainable agricultural methods. However, the availability of agricultural land is increasingly constrained by urban expansion and environmental conservation efforts. APV presents a viable solution by enabling the dual use of land, thus enhancing productivity without necessitating additional land use. Studies indicate that integrating solar panels with crop production can increase land productivity by up to 70%, showcasing the effectiveness of APV systems in various agrivoltaic setups across Germany (Barron-Gafford et al., 2019).

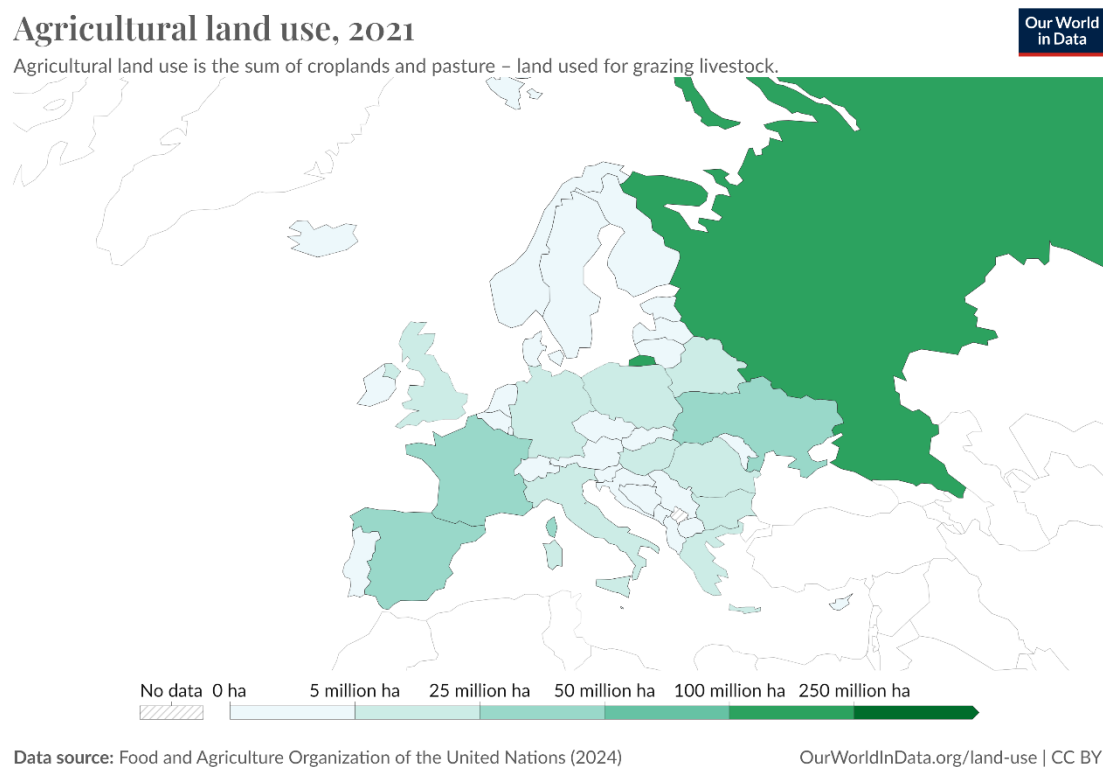


Figure 2: Agricultural land use map - Europe

Source: Food and Agriculture Organization of the United Nations (2024)

4.5.3. Suitable crops for partial shading

Research has identified several European crops that thrive under the partial shading provided by solar panels. Crops such as wheat, barley, and specialty hops have demonstrated resilience and even productivity benefits under APV conditions.

For instance, in the German agrivoltaic sector, hops used in beer brewing have shown a marked increase in productivity when cultivated under photovoltaic modules, which protect them from excess sunlight and heat stress. These findings suggest that APV systems can be designed to complement existing agricultural practices, potentially increasing biodiversity and crop variety (Schindele et al., 2020).

4.5.4. Economic benefits for farmers

The economic viability of APV in Europe is bolstered by a combination of high energy prices and strong governmental support for renewable energy projects. Farmers integrating APV systems can benefit from dual revenue streams: the sale of excess solar electricity to the grid and enhanced agricultural outputs. The reduction in water and energy costs associated with more efficient crop production under APV systems further enhances farm profitability. Various subsidies and tax incentives provided by European governments help offset the initial costs of APV system installation, making it a financially attractive option for farmers (Amaducci et al., 2018).

4.6. Opportunities for APV in Global South

The Global South, spanning Africa, Asia, and Latin America, presents distinct challenges and unique opportunities for the deployment of Agriphotovoltaics (APV). Characterized by vibrant agricultural sectors and escalating energy demands, these regions provide fertile ground for innovative APV applications. This chapter delves into how APV can be tailored and optimized for the Global South, enhancing agricultural productivity, addressing energy needs, and contributing to sustainable development.

4.6.1. Climate and solar potential

Located primarily in tropical and subtropical zones, the Global South enjoys high solar irradiance throughout the year, making it an ideal setting for solar energy projects. Countries like India, Brazil, and Kenya, with their significant sunlight, can effectively harness this resource through APV systems. Nevertheless, the region's high temperatures and intense sunlight pose challenges to crop cultivation, which are mitigated by the cooling effects of APV systems.

These systems not only generate energy but also create beneficial microclimates that reduce thermal stress on plants, thereby enhancing growth conditions in predominantly hot and arid climates (Hassanpour Adeg et al., 2018).

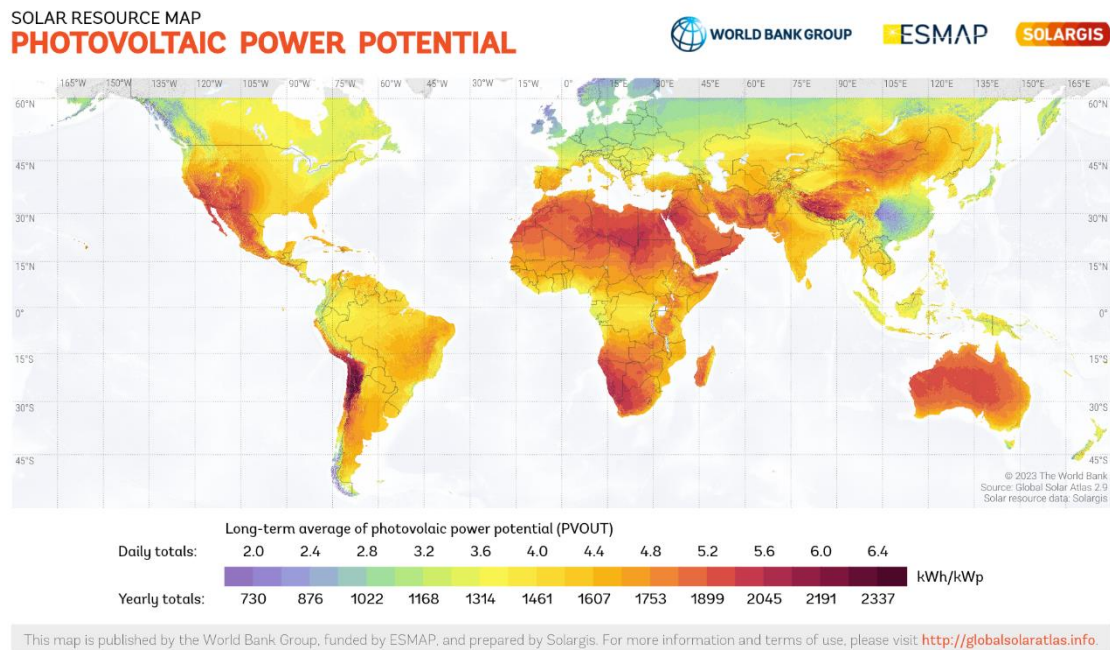


Figure 3: Photovoltaic power production potential map - World

Source: 2020 The World Bank, Global Solar Atlas 2.0, Solar resource data: Solargis.

4.6.2. Agricultural land availability

In the Global South, agricultural land is under immense pressure from rapid population growth and urbanization, compounded by the critical need for food security. APV systems offer a strategic solution by integrating energy production with food cultivation, thus enhancing land productivity without requiring additional land. This dual-use approach not only addresses land scarcity but also promotes the conservation of natural resources and reduces the need for new land conversion, supporting sustainable agricultural practices (Barron-Gafford et al., 2019).

Agricultural land use, 2021

Agricultural land use is the sum of croplands and pasture – land used for grazing livestock.

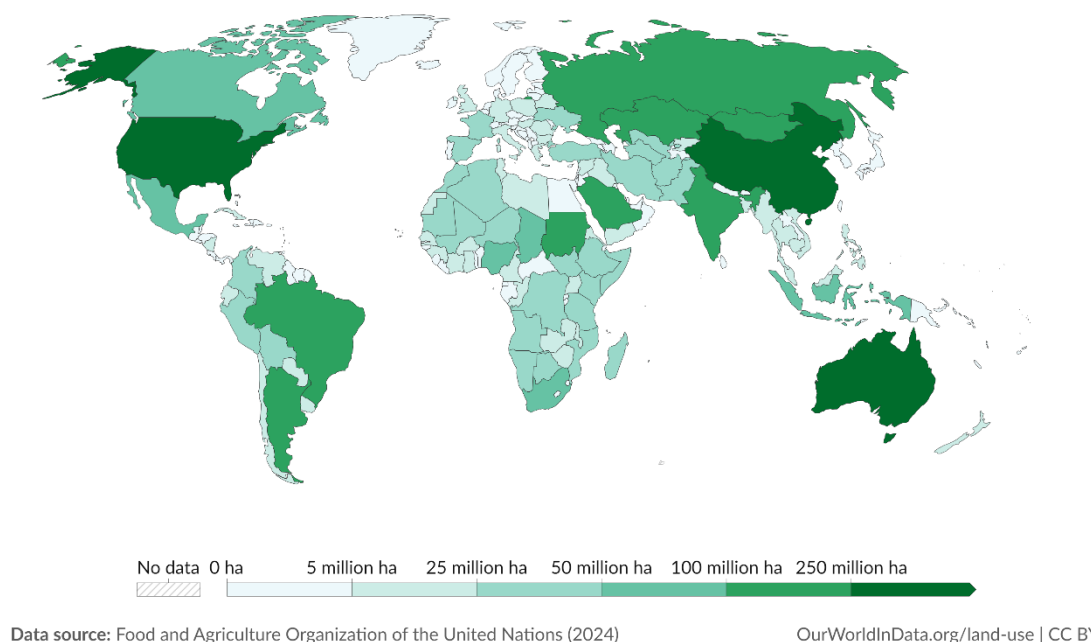


Figure 4: Agricultural land use map - World

Source: Food and Agriculture Organization of the United Nations (2024)

4.6.3. Suitable crops for partial shading

APV installations in the Global South have shown promising results for various crops that are traditionally grown in these regions. Research indicates that crops like cassava, millet, and certain legumes—staples in many African and Asian diets—exhibit increased resilience and improved water-use efficiency under the partial shading provided by solar panels. These benefits are particularly valuable in the hot and arid climates common in the Global South, where water conservation and heat stress mitigation are crucial for crop survival and productivity (Marrou et al., 2013).

4.6.4. Economic benefits for farmers

For farmers in the Global South, APV represents a significant opportunity to enhance their income through dual streams: solar power generation and increased agricultural production. This dual functionality is especially impactful in regions with limited access to reliable energy sources, where agriculture remains a primary livelihood.

The sale of surplus solar energy to local grids provides a stable income, reducing poverty levels and promoting economic stability among rural communities. Furthermore, governmental and international support for renewable energy initiatives can significantly improve the economic feasibility of APV systems, offering incentives and subsidies that make them an attractive investment for local farmers (Ketzer et al., 2020).

4.7. Constraints of APV implementation

Implementing agriphotovoltaics (APV) systems involves navigating various challenges that can impede their adoption and effectiveness. This chapter discusses these constraints, focusing on technical, economic, policy, and social factors that must be addressed to optimize APV integration globally.

4.7.1. Technical challenges

Agriphotovoltaics (APV) systems seek to optimize the coexistence of solar energy production and agriculture. However, they introduce several technical challenges that can impact their efficiency and sustainability. Understanding and addressing these challenges is crucial for the wider adoption of APV systems.

4.7.2. Shading impact on crops production

One of the primary concerns with APV systems is the impact of shading on crop photosynthesis and yield. While shading can reduce water stress and cool crops, it may also lower photosynthetic activity, which can negatively affect crop yields. Research has shown that the extent of this impact varies with the type of crop, the degree of shading, and the climate conditions. For instance, studies have indicated that light-sensitive crops may experience reduced growth rates under shaded conditions (Marrou et al., 2013).

4.7.3. Panel heat transmission

The heat generated by solar panels can significantly alter the microclimate around the crops, potentially harming temperature-sensitive varieties. Without proper management, this heat transfer can stress plants, altering their growth patterns and reducing productivity.

Innovative solutions like using heat-reflective panel coatings or thermally insulating mounting systems have been studied to mitigate these effects (Barron-Gafford et al., 2019).

4.7.4. Infrastructure and maintenance challenges

The integration of solar panels with agricultural landscapes necessitates robust and adaptable infrastructure. This complexity is heightened by the need to accommodate agricultural machinery and ensure the durability and maintenance of the panels. The design of support structures must facilitate easy access for maintenance while being resilient to the rigors of agricultural activities, which can include exposure to chemicals and mechanical stress. Notably, the construction and configuration of these systems have been explored in depth by researchers such as Barron-Gafford et al. (2019), who discuss the environmental and operational challenges faced by APV systems in agricultural settings.

4.8. Policy and regulatory barriers

Current regulations often do not recognize the dual-use nature of land for APV, complicating permitting and land use classification. To address this, a shift towards integrated land use policies is recommended, as supported by policy analysis from other sectors where dual-use concepts have proven beneficial (Vollprecht et al., 2021).

The misalignment between renewable energy and agricultural policies can hinder APV deployment. Integrative policy frameworks that balance these objectives could enhance system adoption, as demonstrated in regions with successful renewable integration into agricultural planning. For example, Germany's integration of solar power into rural development plans has shown how policy synchronization can facilitate the deployment of renewable energy solutions in agricultural settings (Kost et al., 2021).

The economic barriers posed by high initial costs can be mitigated through targeted financial incentives. Studies have shown that subsidies, tax incentives, or enhanced feed-in tariffs significantly improve the adoption rates of renewable technologies.

For instance, the introduction of adjusted feed-in tariffs specifically for agrivoltaic systems in Italy has been a significant factor in their increased uptake (Campana et al., 2021).

The bureaucratic challenges associated with APV systems often deter potential adopters. Simplifying permit processes and reducing administrative hurdles, as seen in streamlined approaches in the EU for renewable projects, can facilitate easier adoption of APV systems. The European Union's streamlined permitting directive for renewable energy sources, as revised in 2018, illustrates effective policy adjustments that can reduce bureaucracy (European Commission, 2018).

Restrictive zoning laws often prevent the effective implementation of APV. Modifying these laws to allow for dual use, as successfully implemented in some U.S. states, can serve as a model for accommodating APV systems within agricultural lands. In the United States, several states have adopted "solar-friendly" zoning amendments that encourage the co-location of solar panels with agricultural activity, proving effective in states like Massachusetts and Colorado (U.S. Department of Energy, 2020).

4.9. Social acceptance and stakeholder engagement

The deployment and success of Agriphotovoltaics (APV) systems depend significantly on their technical and economic viability as well as on social acceptance and proactive stakeholder engagement. Understanding the diverse perspectives and interests of various stakeholders is crucial for the implementation and sustainability of APV projects.

Public Acceptance of APV

Public acceptance of APV varies widely, influenced by aesthetic concerns, environmental considerations, and perceived economic benefits. While renewable energy projects generally enjoy positive public perception, the integration of such systems into agricultural landscapes can face resistance, especially if perceived to disrupt traditional farming aesthetics or land use patterns.

Research indicates that while there is enthusiasm for the environmental benefits of renewable energy, APV systems can face scepticism over potential conflicts with agricultural practices and landscape changes.

Transparent communication about the environmental benefits, such as biodiversity enhancement and carbon footprint reduction, alongside evidence of maintained or enhanced agricultural productivity, is critical (Schindele et al., 2020; Barron-Gafford et al., 2019).

Stakeholder Interests in APV Projects

Stakeholders in APV projects include farmers, local communities, energy producers, environmental groups, and governmental bodies, each with specific interests:

- **Farmers** focus on the impact of APV on crop yields and farm operations.
- **Local communities** are concerned with job opportunities, energy costs, and environmental impacts.
- **Energy producers** seek profitability and reliable energy production.
- **Environmental groups and government agencies** prioritize sustainability and regulatory adherence.

Balancing these diverse interests requires ongoing dialogue and tailored project designs that accommodate diverse needs (Vollprecht et al., 2021; Kost et al., 2021).

Community Engagement Strategies

Effective community engagement is essential for gaining and maintaining local support for APV projects. Strategies include:

- **Information Sessions and Workshops:** These initiatives help educate the community about the benefits and operations of APV, crucial for dispelling myths and building trust (Campana et al., 2021).
- **Participatory Decision-Making:** Involving community members in decision-making processes ensures their concerns are considered, potentially leading to project adaptations that enhance community acceptance (Zhang et al., 2018).
- **Transparency:** Regular updates about project progress and responsiveness to community feedback can foster a sense of involvement and ownership, mitigating resistance (Campana et al., 2021).

Community Impact of APV Projects

APV projects can significantly impact local communities by offering economic development opportunities through job creation in system installation and maintenance. However, poorly managed projects can spur land use conflicts and environmental concerns.

For instance, in regions where APV has been implemented, local employment opportunities have increased, although issues such as increased traffic and changes in local wildlife habits have also arisen, requiring careful management and mitigation strategies (Schindele et al., 2020; Hassanpour Adeh et al., 2018).

4.10. Case studies of successful APV projects

This chapter delves into a series of carefully selected case studies from both Europe and the Global South, showcasing exemplary implementations of Agriphotovoltaics (APV) systems. These case studies are instrumental in illustrating not only the practical viability and adaptability of APV systems across different geographic and climatic conditions but also their potential to transform traditional agricultural practices by integrating renewable energy solutions. Through detailed analysis of each project, this section aims to provide valuable insights into the innovative designs, execution strategies, and significant outcomes of APV installations. By examining these projects, we can better understand the diverse applications and substantial impacts of APV systems on local agriculture, community welfare, and regional economies. This exploration also highlights the collaborative efforts between researchers, farmers, industry stakeholders, and policymakers, demonstrating the collective endeavour required to optimize and scale APV technologies globally. Each case study is chosen to reflect a unique aspect of APV implementation, ranging from technological innovation and economic impact to environmental sustainability and social acceptance, providing a comprehensive view of how APV systems can address specific regional challenges related to energy access, food security, and sustainable development.

4.10.1. Europe case studies

1. Fraunhofer Institute's APV-RESOLA Project in Germany

Overview: Initiated in Heggelbach, Germany, the APV-RESOLA project integrates solar panels with agricultural land, covering crops such as wheat, potatoes, and clover grass. The project spans about one-third of a hectare and incorporates solar installations elevated above the crops.

Innovations and Outcomes: This project effectively demonstrated how the strategic placement of panels can enhance land productivity by reducing water usage through shade, which lowers evaporation rates, and by simultaneously producing significant amounts of electricity. Studies conducted on the site confirmed that the presence of solar panels improved the microclimatic conditions, which positively affected crop yield (Kloss et al., 2021).

Impact on Community and Economy: The dual use of land promoted by this project not only preserved agricultural productivity but also bolstered renewable energy generation. This approach provided a steady source of renewable energy and demonstrated a sustainable model of land use, thereby increasing local acceptance and interest in renewable technologies.

2. The AgroSolar Project in Italy

Overview: Located in the agriculturally rich region of Veneto, this project integrates vineyard cultivation with photovoltaic panels. The design focuses on optimizing the microclimate for grape cultivation, which is sensitive to shading and temperature variations.

Innovations and Outcomes: The project explored various configurations of solar panels to find an optimal balance that does not hinder vine growth. The key innovation was the development of a system that adjusts the panel angles across seasons to maximize light exposure during critical periods of vine growth while still generating substantial solar power (Bianchi et al., 2022).

Impact on Community and Economy: By demonstrating that high-quality wine production can coexist with significant solar energy production, the project has set a precedent for multifunctional agricultural practices in Europe. It has encouraged local vineyards to adopt renewable energy solutions, promoting sustainability in the wine industry, which is a significant economic sector in the region.

4.10.2. Global south case studies

1. The Sundarbans Project in India

Overview: This innovative project in the Sundarbans, known for its critical ecosystem and extensive rice paddies, features solar panels installed over canals that run through agricultural lands.

Innovations and Outcomes: The canal-top solar installations help in conserving water by significantly reducing evaporation, a critical benefit in water-scarce regions. Additionally, these installations harness the abundant solar energy available in the area, addressing local energy deficits crucial for rural development (Patel & Shah, 2020).

Impact on Community and Economy: The project has not only stabilized agricultural output by securing water resources but has also facilitated local energy generation, which is essential for the socio-economic development of the area. The success of this project has inspired similar initiatives across other parts of India, showing a scalable model for rural energy and water conservation.

2. APV Systems in Semi-Arid Regions of Brazil

Overview: Targeting the semi-arid regions of Brazil, this project implemented APV systems to combat water scarcity while enhancing solar energy capture.

Innovations and Outcomes: The project utilized the shade provided by photovoltaic panels to maintain soil moisture levels, which are crucial for agricultural productivity in arid conditions. The panels were installed to optimize sunlight capture without compromising the growth conditions for local crops, leading to improved agricultural outputs and increased energy production (Rocha et al., 2021).

Impact on Community and Economy: The integration of these systems has supported agricultural stability and generated additional energy, contributing to economic stability in the region. This approach has shown great potential in addressing the intertwined challenges of water scarcity, food security, and energy needs in arid and semi-arid regions.

4.11. Potential scenarios for APV

This section explores various potential scenarios for the future implementation of Agriphotovoltaics (APV) in both Europe and the Global South. Drawing on insights from current trends, technological advancements, and policy shifts, as supported by the sources and literature reviewed, these scenarios offer a vision of how APV might evolve and expand, adapting to regional needs and leveraging emerging opportunities.

4.11.1. Europe scenarios

1. Integration with Smart Agricultural Practices

Scenario Overview: With European agriculture moving towards precision farming, APV systems are integrated with sensors and IoT devices to optimize both energy production and agricultural output.

Supported by: Advances in smart agricultural technologies and EU policies promoting renewable energy integration into agricultural practices (Schindele et al., 2020).

Impact: Enhanced efficiency in resource use, improved crop yields, and increased farm profitability, contributing to sustainability and technological innovation in agriculture.

2. Regulatory and Market-driven Expansion

Scenario Overview: Responding to a robust regulatory framework and growing market demand for green energy, APV systems expand across Europe, supported by incentives like feed-in tariffs and subsidies.

Supported by: EU's green energy directives and market trends towards sustainable agricultural products (Kloss et al., 2021).

Impact: Significant growth in the APV sector, contributing to Europe's energy independence and meeting its climate targets.

3. Cooperative and Community-based Models

Scenario Overview: APV projects are increasingly adopted by cooperatives and rural communities, driving local energy resilience and sustainable agricultural practices.

Supported by: Community-led initiatives and EU funding for rural development projects that integrate renewable energy solutions (Bianchi et al., 2022).

Impact: Enhanced social acceptance, local empowerment, and a model for sustainable development at the community level.

4.11.2. Global south scenarios

1. APV as a Tool for Climate Resilience

Scenario Overview: In response to climate change impacts, APV systems are deployed widely in the Global South to ensure continuous agricultural productivity under increasingly harsh conditions.

Supported by: Studies indicating the effectiveness of APV in improving microclimatic conditions and conserving water, which are critical in regions affected by climate variability (Rocha et al., 2021).

Impact: Strengthened agricultural resilience against climate change, contributing to food security and sustainability.

2. Rural Electrification and Economic Development

Scenario Overview: APV systems become integral to rural electrification efforts, providing reliable and sustainable energy sources that support local development.

Supported by: International development strategies that highlight renewable energy as a key component in rural electrification and economic empowerment (Patel & Shah, 2020).

Impact: Improved quality of life, economic opportunities, and access to education and health services in remote areas.

3. Integrative Urban-Rural Development Projects

Scenario Overview: APV systems are incorporated into urban planning initiatives to create sustainable links between urban and rural areas.

Supported by: Urban expansion policies that incorporate sustainability measures and encourage the use of renewable technologies to bridge the urban-rural divide (Campana et al., 2021).

Impact: Reduction of urban heat islands, enhancement of urban food security through local production, and promotion of green jobs.

5. Conclusions

This thesis has examined the prospects and challenges associated with Agriphotovoltaics (APV) by synthesizing an extensive body of literature, analysing case studies, and contemplating future implementation scenarios. The results affirm that APV systems are not merely viable but are transformative in addressing the concurrent demands for sustainable energy and efficient agricultural practices. Moreover, they hold substantial promise for socio-economic advancement, environmental conservation, and enhancing energy security across varied global settings.

Synthesis of Key Findings

1. **Technological and Agricultural Integration:** APV has demonstrated technical feasibility and economic viability across diverse environments, from European nations to the Global South. Evidence suggests that APV enhances land productivity by allowing simultaneous energy production and crop cultivation, thus optimizing land use without detriment to agricultural yields (Schindele et al., 2020).
2. **Economic and Policy Drivers:** Economic assessments reveal that despite the steep initial outlay, the enduring benefits such as increased crop yields, decreased energy expenses, and potential new income avenues justify the investment in APV systems. Nonetheless, the proliferation and efficacy of these systems depend substantially on the supporting policy environment. Incentives like subsidies, tax reliefs, and advantageous regulatory frameworks are pivotal for their broader adoption (Kloss et al., 2021; Bianchi et al., 2022).
3. **Social Acceptance and Community Engagement:** Case studies underscore the significance of community acceptance and engagement for the successful deployment of APV. Projects that proactively involved community stakeholders from inception and communicated the benefits effectively were more likely to achieve success and community endorsement (Patel & Shah, 2020).
4. **Environmental Impacts:** APV systems significantly bolster environmental sustainability by alleviating conflicts over land use between agricultural and energy production, reducing water use, and curbing reliance on fossil fuels.

Additionally, they play a crucial role in climate change mitigation by decreasing greenhouse gas emissions and promoting local biodiversity (Rocha et al., 2021).

Potential Scenarios and Future Outlook

The scenario analyses forecast potential advancements in APV, influenced by technological progress and evolving policy landscapes. In Europe, for example, coupling APV with smart agricultural technologies could revolutionize precision farming. Conversely, in the Global South, APV could be instrumental in bolstering rural electrification and enhancing resilience against climate variability. These scenarios highlight the versatility of APV systems to cater to distinct regional necessities and underscore the dynamic evolution of this field, driven by ongoing technological and socio-economic developments (Campana et al., 2021).

Contributions to the Field and Recommendations

This thesis enriches the academic discourse on renewable energy and sustainable agriculture by providing an in-depth examination of the operational capabilities and impacts of APV systems.

It explains the complex interactions between energy generation and agricultural practices and advocates for APV as an exemplary approach to multifunctional land use.

Recommendations for Future Research:

- Conduct empirical studies to assess the long-term effects of APV on crop yields and soil health.
- Undertake comparative research to determine the cost-effectiveness of various APV designs, aiding in the optimization of system configurations.
- Perform detailed analyses of policy structures across different nations to formulate more explicit guidelines for APV promotion globally.

Practical Implications:

- Policymakers should integrate support mechanisms for APV systems within broader renewable energy and agricultural policies.

- Stakeholders in the energy and agricultural sectors are encouraged to collaborate on piloting and expanding APV projects, leveraging insights from this thesis to inform their strategic decisions.

Conclusion: Agriphotovoltaics stand as a pivotal innovation in sustainably managing natural resources. By tapping into the synergies between solar energy production and agriculture, APV systems not only address current needs efficiently but also pave the way for future generations to sustainably meet theirs, driving us towards a more resilient and sustainable global society.

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